Description of Spectrum Measurement Platform

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Abstract. This document provides an exhaustive and in-depth description of the measurement platform and its configuration employed in the measurements of this site. The tool is a very flexible computer-controlled radio measurement system, which has successfully been used in extensive spectrum measurement campaigns and empirical studies in the context of the Dynamic Spectrum Access/Cognitive Radio (DSA/CR) technology.

1 Measurement Platform Overview

An appropriate measurement setup for DSA/CR spectrum surveys should be able to detect, over a wide range of frequencies, a large number of transmitters of the most diverse nature, from narrow- to wide-band systems and from weak signals received near the noise floor to strong signals that may overload the receiving system. Depending on the purposes of the study carried out, different configurations have been used in previous spectrum measurements ranging from simple setups with a single antenna directly connected to a spectrum analyzer to more sophisticated and complex designs. Different configurations between both extreme points may determine various trade-offs between complexity and measurement capabilities. The presented platform is mainly based on a spectrum analyzer setup where different external devices have been added in order to improve the detection capabilities of the system and hence obtain more reliable and accurate results. A simplified scheme is shown in Figure 1. The design is composed of two broadband discone-type antennas covering the frequency range from 75 to 7000 MHz, a switch to select the desired antenna, several filters to remove undesired signals, a low-noise pre-amplifier to enhance the overall sensitivity and thus the ability to detect weak signals, a high-performance spectrum analyzer to record the spectral activity, and a laptop (not shown in Figure 1) running a tailor-made software that controls the measurement process. All the components integrating the platform can be divided into four modules (see Figure 2), namely the antenna subsystem, the RF subsystem, the capturing subsystem, and the control subsystem, which are detailed in the following sections.
2 Antenna Subsystem

When covering small frequency ranges or specific licensed bands a single antenna may suffice. However, in broadband spectrum measurements from a few megahertzs up to several gigahertzs, two or more broadband antennas are required in order to cover the whole frequency range. The antenna subsystem, shown in Figure 2(a), is composed of two broadband discone-type antennas covering the frequency range from 75 to 7000 MHz. The first antenna (AOR DN753) is used between 75 and 3000 MHz, while the second antenna (A-INFO JXTXPZ-100800/P) covers the frequency range 1–8 GHz but is employed between 3000 and 7000 MHz. Discone antennas are broadband antennas with vertical polarization and omni-directional receiving pattern in the horizontal plane. Even though some transmitters may be horizontally polarized, they usually are high-power stations (e.g., TV stations) that can be detected even with vertically polarized antennas. The exceptionally wide band coverage (allowing a reduced number of antennas in broadband spectrum studies) and the omni-directional feature (allowing the detection of licensed signals coming for any directions) make discone antennas an attractive option in radio scanning and monitoring applications.

3 Radio Frequency Subsystem

The RF subsystem is in charge of performing antenna selection, filtering and amplification. The RF module is shown in Figure 2(b).
The desired antenna is selected by means of a Single Pole Double Throw (SPDT) switch. An electromechanical switch (MiniCircuits MSP2T-18) has been selected because of its high isolation (90–100 dB) and low insertion loss (0.1–0.2 dB). When compared to other switch types, electromechanical switches in general provide slower switching times and shorter lifetimes. Nevertheless, this choice results appropriate since antenna switching is always performed off-line by turning the switch on/off between measurement sessions.

To remove undesired signals, three filters are employed. A band stop filter (MiniCircuits NSBP-108+) blocks signals in the frequency range of Frequency Modulation (FM) broadcast stations (87.5–108 MHz). Such stations usually are high power transmitters that may induce overload in the receiver, thus degrading the reception performance with an increased noise floor (which prevents the receiver from detecting the presence of weak signals) or with the appearance of spurious signals (which may be misinterpreted as true signals). Since the FM band is of presumably low interest for opportunistic use due to its usually high transmission powers and occupancy rates, a FM band stop filter is employed in order to remove FM signals and avoid overload problems, improving the detec-
tion of weak signals at other frequencies. Low pass (MiniCircuits VLF-3000+) and high pass (MiniCircuits VHP-26) filters have been used to remove out-of-band signals and reduce the potential apparition of intermodulation products.

To compensate for device and cable losses and increase the system sensitivity, a low-noise pre-amplifier is employed. It is important to note that higher amplification gains result in better sensitivities at the expense of reduced dynamic ranges. Since very different signal levels may be present in broadband spectrum surveys, the existing trade-off between sensitivity and dynamic range must therefore be taken into account. The selected mid-gain amplifier (MiniCircuits ZX60-8008E+) provides significant sensitivity improvements while guaranteeing a Spurious-Free Dynamic Range (SFDR) [1] of 73 dB, which was observed to be enough in practical measurement conditions. Although the employed spectrum analyzer includes a high-gain built-in amplifier, the use of an additional external pre-amplifier closer to the antenna subsystem results in an improved overall noise figure (4-5 dB lower than in the case where only the internal amplifier is employed). For measurements below 3 GHz, where some overloading signals may be present, only the external amplifier is used. For measurements above 3 GHz, where the received powers are lower due to the attenuation of higher frequencies, both the external and the spectrum analyzer’s internal amplifier are employed.

4 Capturing Subsystem

A high performance handheld spectrum analyzer (Anritsu Spectrum Master MS2721B) is employed to provide power spectrum measurements and record the spectral activity over the complete frequency range. This spectrum analyzer provides a measurement range from 9 kHz to 7.1 GHz, low noise levels and a built-in pre-amplifier (which facilitates the detection of weak signals), fast sweep speeds automatically adjusted, and various communication interfaces enabling the connection of external USB storage devices as well as controlling instruments. Moreover the handheld, battery-operated design simplifies the displacement of the equipment to different measurement locations.

In spectrum analyzers, a tunable receiver tunes continuously across the selected frequency span, beginning at the lowest frequency of the span and increasing in frequency until the highest frequency of the span is reached. Due to the swept operating principle of spectrum analyzers, the time interval between two consecutive samples of a given frequency channel may be notably high, in the order of several seconds depending on the width of the selected frequency span and the bandwidth of the selected intermediate frequency filter (referred to as resolution bandwidth). This means that the effective sampling rate of individual channels cannot be compared to that attained with other capturing devices such as vector signal analyzers or digital sampling cards. Nevertheless, spectrum analyzers have the advantage of providing high sensitivity levels (ability to detect the presence of weak licensed signals), high dynamic ranges (ability to simultaneously detect the presence of signals with very dissimilar power levels) and wide band measurements (ability to observe the occupancy state of all the radio
channels within an entire band), which are fundamental requirements in order to obtain a meaningful a comprehensive picture of spectrum usage.

Since the different configurations and operating modes of spectrum analyzers can significantly alter the results of a measurement, proper parameter selection is crucial to produce valid and meaningful results. Table 1 shows the selected values for the main spectrum analyzer parameters. This configuration has been selected as an adequate trade-off among many interdependent aspects, taking into account not only the basic principles of spectrum analysis [2] but also some particular considerations specific to the context of DSA/CR as exhaustively discussed in [3]. Although the optimum configuration of a spectrum analyzer depends on the particular characteristics of the spectrum band and signal under study, the configuration shown in Table 1 has been proven to provide satisfactory results in practice over a wide range of allocated spectrum bands with heterogeneous characteristics in terms of transmission powers, dynamic ranges and RF bandwidths. A brief explanation of the configuration in Table 1 is provided in the following. A more detailed discussion can be found in [3].

Spectrum analyzers provide the results of a sweep with a finite number of discrete frequency points. In the case of the employed spectrum analyzer, the number of frequency points provided for a given range of frequencies (frequency span) is fixed and equal to 551 points per span. Therefore, the widths of the selected bands (frequency spans) have a direct impact on the frequency resolution of the measurements (frequency bins, defined as the distance between two consecutively measured frequency points). As demonstrated in [3], if the frequency bin is larger than the RF bandwidth of the signal being measured, spectrum occupancy may be notably overestimated. On the other hand, occupancy estimation can be reasonably accurate as long as the frequency bin size remains acceptably narrower than the signal RF bandwidth. Frequency spans need therefore to be selected taking into account the RF bandwidth of the signals within the measured band. For example, to measure the bands allocated to the Global System for Mobile communications (GSM), a frequency span of e.g. 45 MHz would be appropriate since it enables the whole band to be measured and results in a frequency bin of 45 MHz/(551–1) = 81.8 kHz, which is noticeably narrower than the GSM signal RF bandwidth (200 kHz). Similarly, a frequency span of 400 MHz would result in a frequency bin of 727.3 kHz, which is appropriate to measure, for example, the TeleVision (TV) bands (8 MHz RF bandwidth) and the Universal Mobile Telecommunications System (UMTS) bands (5 MHz RF bandwidth). As shown in Table 1, selecting frequency spans no wider than 600 MHz is sufficient to embrace the widest allocated bands (e.g., TV) and results in frequency bins no greater than 1090.9 kHz, which is also adequate for reliably monitoring the spectral activity of the channels within such bands.

The Resolution BandWidth (RBW) also plays an important role in the reliability of the obtained measurements. Narrowing the RBW increases the ability to resolve signals in frequency and reduces the noise floor (improving the sensitivity) at the cost of an increased sweep time and hence a poorer time resolution [2]. Based on the conclusions from the study carried out in [3], a 10-kHz RBW can
be selected as an adequate trade-off between the detection capabilities in the time and frequency dimensions. The Video BandWidth (VBW) is a function that dates to analog spectrum analyzers and can be used to reduce the effect of the noise on the displayed signal amplitude. When the VBW is narrower than the RBW, this filtering has the effect of reducing the peak-to-peak variations of the displayed signal, thus averaging noise without affecting any part of the trace that is already smooth (for example, a signal that is displayed well above the noise level). With modern digital spectrum analyzers this smoothing effect can be achieved by means of trace averaging or, alternatively, by averaging traces off-line (in software) as a part of data post-processing. To eliminate this analog form of averaging, the VBW is set equal to the RBW.

The measurement periods shown in Table 1 are provided as illustrative examples. When the transmitters present in the spectrum band under study are known to be characterized by constant occupancy patterns, measurement periods of 1 hour (even shorter) may be enough to reliably estimate the usage level of the band. This is the case, for example, of TV bands, where the transmission power is constant and the signals are broadcast all the time in those channels that are actually used. To measure bands with time-varying occupancy patterns, such as those allocated to cellular mobile communication systems, longer measurement periods of 24 hours may be more appropriate in order to adequately detect spectrum use variations. Measurement periods of 7 days can be appropriate in order to identify different patterns between working days and weekends. The number of traces/sweeps recorded within the selected measurement period is a function of the sampling rate (i.e., the sweep time), which is automatically adjusted. Although spectrum analyzers allow the manual selection of the sweep time, it is advisable to permit the automatic configuration of this parameter since it is normally adjusted to the fastest value that enables reliable results.

### Table 1. Spectrum analyzer configuration.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Frequency</strong></td>
<td></td>
</tr>
<tr>
<td>Frequency range</td>
<td>75–3000 MHz</td>
</tr>
<tr>
<td>Frequency span</td>
<td>&lt; 600 MHz</td>
</tr>
<tr>
<td>Frequency bin</td>
<td>&lt; 1090.9 kHz</td>
</tr>
<tr>
<td>Resolution bandwidth</td>
<td>10 kHz</td>
</tr>
<tr>
<td>Video bandwidth</td>
<td>10 kHz</td>
</tr>
<tr>
<td><strong>Time</strong></td>
<td></td>
</tr>
<tr>
<td>Measurement period</td>
<td>1 hour / 24 hours / 7 days</td>
</tr>
<tr>
<td>Sweep time</td>
<td>Automatically selected</td>
</tr>
<tr>
<td><strong>Amplitude</strong></td>
<td></td>
</tr>
<tr>
<td>Detection type</td>
<td>Average (RMS) detector</td>
</tr>
<tr>
<td>Built-in pre-amplifier</td>
<td>Deactivated/Activated</td>
</tr>
<tr>
<td>Reference level</td>
<td>–20 dBm / –50 dBm</td>
</tr>
<tr>
<td>Scale</td>
<td>10 dB/division</td>
</tr>
</tbody>
</table>
The power levels for each frequency bin are obtained by means of an average detection principle. This detector averages the power levels observed within one frequency bin in order to provide a representative power level for each frequency bin. As mentioned in section 3, the external pre-amplifier is deactivated for measurements below 3 GHz since this region of the spectrum is highly populated [4,5], often by high-power transmitters that may cause overloading problems. For spectrum bands above 3 GHz, spectrum is used more sparsely and the more intense signal attenuation caused by these higher frequencies results in the reception of lower power levels. In this case, both the external and the spectrum analyzer’s internal amplifiers are employed to provide more reliable measurement results. The reference level (maximum received power that can be measured accurately) in both portions of spectrum is selected according to the maximum powers observed in practice. The amplitude scale is then adjusted to appreciate the minimum signal level that can be detected, which is determined by the spectrum analyzer noise floor and is approximately equal to –110 dBm for the selected RBW when the internal amplifier is deactivated. This sensitivity level, however, is referred to the spectrum analyzer’s antenna connector. Taking into account the gains of the internal (≈25 dB) and external (≈10 dB) amplifiers, the overall sensitivity that can be reached is around –145 dBm/10 kHz, which is enough for a reliable estimation of the true spectrum occupancy.

5 Control Subsystem

The control subsystem, shown in Figure 3, is in charge of supervising the measurement process, retrieving the measurement data from the spectrum analyzer and saving the results in an appropriate format for off-line data post-processing.

The control subsystem is mainly composed of a laptop, which is connected to the spectrum analyzer via an Ethernet interface. The laptop runs a tailor-made script under Matlab’s software environment, which controls the measurement process. The control script communicates with the spectrum analyzer by means of the Matlab’s Instrument Control Toolbox and making use of commands in SCPI (Standard Commands for Programmable Instruments) format with the VISA (Virtual Instrument Standard Architecture)-TCP/IP interface.

The script receives the following data as input parameters from the user:

- ip_address: The IP address configured in the spectrum analyzer.
- f_start: The lowest frequency in MHz of the band/span to be measured.
- f_stop: The highest frequency in MHz of the band/span to be measured.
- t_start: The time instant to begin measurements, specified in year-month-day-hour-minute-second (YYYY/MM/DD/HH/MM/SS) format.
- t_stop: The time instant to end measurements, specified in year-month-day-hour-minute-second (YYYY/MM/DD/HH/MM/SS) format.
- file_name: The root/base name for the generated data files.
- nof_traces_per_file: Number of traces/sweeps saved in each generated file. To avoid excessively large (computationally intractable) files when the measurement period is long (e.g., hours or days), the data are split into several files.
Based on the received input information, the measurement process is controlled as follows (see Algorithm 1). First of all, the script tries to establish communication with the spectrum analyzer at the specified IP address (line 1) by sending the appropriate commands [6]. If the connection establishment is successful, the set of configuration parameters (including the specified frequency band to be measured, the parameters shown in Table 1 and some others) are then sent to the spectrum analyzer (line 2). After initializing the counters and variables employed in the measurement process (lines 3–7), the script then waits until the time for beginning the measurement session is reached (lines 8–10). When the start time is reached, the measurement process begins and it is performed repeatedly until the specified stop time is reached (lines 11–30). Every cycle consists in the realization of one sweep and its storage. The current time at the beginning of the cycle is stored and used as a time stamp for the current sweep (line 12). A new sweep is then immediately commanded to the spectrum analyzer (line 13). The script then waits for the sweep to be complete by continuously monitoring the corresponding status bits of the spectrum analyzer (lines 14–16). When the sweep is complete, the measured data are retrieved (line 17). After removing headers, the measured power values are extracted from the data provided by the spectrum analyzer in comma-separated ASCII format (line 18). The measured power values (line 19) along with the corresponding time stamp (line 20) are concatenated to the appropriate matrices. Since one sweep is completed at this time, the trace counter is increased (line 21) and compared to the number of traces to be saved in each generated file (line 22). In case that a new file needs to be created, the file counter is updated (line 23) and the matrices containing the measured power values (line 24) and their corresponding time stamps (line 25) are then saved, after which the counter and the matrices are reset (lines 26–28). When one cycle (lines 11–30) is finished, another one is started immediately, and the process is repeated cyclically until the stop time is reached. The set of remaining traces/sweeps at this time, lower than \text{nof}\_\text{traces}\_\text{per}\_\text{file}, is
saved into new files (lines 32–34) along with the frequency vector containing the exact values for the frequency points that have been measured within the band of interest (line 36). The communication with the spectrum analyzer is finally closed (line 37) and the measurement session is finished.

**Algorithm 1** Control script

**Input:** ip_address, f_start, f_stop, t_start, t_stop, file_name, nof_traces_per_file

**Output:** power_file, time_file, frequency_file

1: Establish communication with the spectrum analyzer → ip_address
2: Send configuration to the spectrum analyzer → ip_address
   {Including f_start, f_stop, Table 1 and others}
3: file_counter ← 0
4: trace_counter ← 0
5: power_matrix ← [] {Empty}
6: time_matrix ← [] {Empty}
7: frequency_vector ← Set of 551 frequency points between f_start and f_stop
8: while current_time < t_start do
9:   Nothing {Wait for t_start}
10: end while
11: while current_time < t_stop do
12:   t ← current_time
13:   Initiate new sweep → ip_address
14:   while Performing sweep ← ip_address do
15:     Nothing {Wait for the sweep to be completed}
16:   end while
17: Retrieve sweep data ← ip_address
18: power_values ← Retrieved sweep data
19: power_matrix ← [power_matrix; power_values]
20: time_matrix ← [time_matrix; t]
21: trace_counter ← trace_counter + 1
22: if trace_counter == nof_traces_per_file then
23:   file_counter ← file_counter + 1
24:   Save file power_file(file_counter) ← power_matrix
25:   Save file time_file(file_counter) ← time_matrix
26:   trace_counter ← 0
27:   power_matrix ← [] {Empty}
28:   time_matrix ← [] {Empty}
29: end if
30: end while
31: if trace_counter > 0 then
32:   file_counter ← file_counter + 1
33:   Save file power_file(file_counter) ← power_matrix
34:   Save file time_file(file_counter) ← time_matrix
35: end if
36: Save file frequency_file ← frequency_vector
37: Close communication with the spectrum analyzer → ip_address
The time reference employed to determine the beginning and the ending of the measurement session, as well as the time stamps, is obtained from the laptop’s internal clock. Alternatively, the time reference can be obtained from an external GPS receiver. This option is useful when two or more measurement suites are deployed at different locations and need to be synchronized among them. In this case, the control script is slightly different: a new cycle (lines 11–30) is not immediately started after the previous one is finished, and an additional input parameter indicating the time period between two consecutive sweeping cycles needs to be specified by the user. The specified time period must be long enough to allow for a complete cycle to be performed, and enables various measurement suites to be synchronized on a sweep basis. This kind of measurements is interesting, for example, for determining how several nodes of a DSA/CR network at different locations perceive the spectral activity of the same primary transmitter, and for identifying potential correlation patterns. Additionally, the external GPS receiver can be used to easily determine the location where the measurement is being performed, and establish correlation patterns as a function of the distance between DSA/CR nodes. This operation mode may find other interesting applications as well.

The device employed in the presented implementation (Garmin GPS 18x USB) is a small and highly accurate GPS receiver with high sensitivity levels (−184 dBW) and a maximum acquisition time of around 45 seconds. As shown in Figure 3, the GPS receiver requires a specific USB driver to be installed in the controlling laptop. This driver is used by a set of three executable files, written in C source code, containing a low-level implementation of the proprietary Garmin USB communication protocol [7]. Each file executes a different set of commands, depending on its finality. The first file checks the connectivity with the GPS receiver to verify that it is properly connected and working. The other two files retrieve the current GPS location and current GPS time, respectively, from the GPS receiver. These files are executed from the operating system’s command line, and invoked from Matlab by means of a system call with the system function. Each executable file returns the result of the corresponding operation (i.e., GPS receiver status, GPS location or GPS time) as a character string with a predefined format, which is processed by the control script in order to extract the desired information. While the files providing the GPS receiver status and location are invoked once at the beginning of each measurement session, the GPS time is requested repeatedly in order to obtain the desired time reference.

As shown in Algorithm 1, the control script generates, for each measurement session, one file storing the frequency points measured within the band of interest (frequency_file) and a set of files containing the measured power values (power_file) and the corresponding time stamps (time_file). The frequency_file contains a 1 × 551 row vector including the frequency points, in MHz, that have been measured by the spectrum analyzer. This vector length corresponds to the number of points per sweep provided by the selected spectrum analyzer. Each generated power_file contains a nof_traces_per_file × 551 matrix whose values correspond to the power level recorded at each one of the 551 measured frequency
Fig. 4. Data format.

points for a total amount of \( \text{nof\_traces\_per\_file} \) sweeps. The default unit for expressing the recorded power levels is dBm but it can be modified to represent both power and voltage amplitude values in various orders of magnitude (e.g., mW and W) and either in linear or logarithmic magnitude (e.g., mW and dBm). For each generated \( \text{power\_file} \), there exists a corresponding \( \text{time\_file} \) containing a \( \text{nof\_traces\_per\_file} \times 6 \) matrix, where the \( n \)-th row contains the time stamp (in YYYY-MM-DD-HH-MM-SS format) for the sweep reported in the \( n \)-th row of the associated \( \text{power\_file} \). The data formats for each file and the corresponding relations are illustrated in Figure 4.

Notice that the selected data formats provide some interesting advantages for data storage and data post-processing. On one hand, the \( \text{frequency\_file} \) and the \( \text{time\_file} \) can be employed to search for the empirical data corresponding to particular time periods and frequency ranges. Since these matrices are significantly small, the files where they are stored can be loaded and processed very fast. This enables particular sets of empirical data of interest to be rapidly found within the set of matrices contained in the \( \text{power\_file} \) files, which are of significantly higher sizes. Once the subset of empirical data of interest is identified by exhaustive searching within the corresponding set of \( \text{frequency\_file} \) and \( \text{time\_file} \) files, only the \( \text{power\_file} \) file(s) containing the desired data need to be loaded and processed. Moreover, the size of each \( \text{power\_file} \) depends on the value of the parameter \( \text{nof\_traces\_per\_file} \) provided as an input to the control script, which can be flexibly configured depending on the available computational capabilities. For powerful computers able to simultaneously handle very high data volumes, this parameter can be set to higher values, thus reducing the amount of required data files and storage space. In conclusion, the selected data formats enable large volumes of empirical data not only to be stored and structured systematically, but also to be accessed and processed in an easy, fast and efficient manner.
References